

# Contents

|  |            |
|--|------------|
| <b>Declaration of Authorship</b>   | <b>iii</b> |
| <b>Abstract</b>  | <b>vii</b> |
| <b>1 Introduction</b>  | <b>1</b>   |
| 1.1 State-of-the-Art Intrinsic Small-Signal Analysis . . . . .             | 3          |
| 1.2 State-of-the-Art Extrinsic Small-Signal Analysis . . . . .             | 9          |
| 1.3 Main Objectives of this Work . . . . .                                 | 13         |
| 1.4 Outline of this Work . . . . .   | 14         |
| <b>2 High-Speed Vertical-Cavity Surface-Emitting Lasers</b>                | <b>17</b>  |
| 2.1 Directly Modulated High-Speed VCSELs . . . . .                         | 17         |
| 2.2 Basic Concept and Design Considerations of High-Speed VCSELs . . . . . | 19         |
| <b>3 Intrinsic Laser Dynamics</b>  | <b>25</b>  |
| 3.1 Standard Edge-Emitter Dynamic Model . . . . .                          | 27         |
| 3.1.1 Rate Equations and Reservoir Model . . . . .                         | 27         |
| 3.1.2 Transfer Function . . . . .  | 30         |
| 3.1.3 Carrier Transport Effect . . . . .                                   | 32         |
| 3.1.4 Mode Intensity Nonuniformity Effect . . . . .                        | 35         |
| 3.1.5 Concluding Discussion on SM Dynamics . . . . .                       | 36         |
| 3.2 Advanced VCSEL Dynamic Model . . . . .                                 | 39         |
| 3.2.1 Shared Carrier Reservoir Multimode Dynamic Models . . . . .          | 39         |
| 3.2.2 Split Carrier Reservoir Multimode Dynamic Models . . . . .           | 42         |
| Rate Equations and Reservoir Model . . . . .                               | 42         |
| Transfer Function . . . . .  | 45         |
| Approximated Transfer Function . . . . .                                   | 48         |
| 3.2.3 Mode Resolved Dynamic Model . . . . .                                | 49         |
| 3.3 Concluding Discussion on Intrinsic Dynamics . . . . .                  | 52         |
| 3.3.1 Various Dynamic Models for Three Current-Ranges . . . . .            | 52         |
| 3.3.2 Impact of Inhomogeneity factor on the Dynamic . . . . .              | 55         |
| <b>4 Extrinsic Chip Response</b>   | <b>61</b>  |
| 4.1 Chip Parasitics Response . . . . .                                     | 61         |
| <b>5 Small-Signal Analysis of Ultra-High-Speed Multi-Mode VCSELs</b>       | <b>67</b>  |

|  |            |
|--|------------|
| <b>6 Small-Signal Analysis of High-Performance VCSELs</b>                                    | <b>81</b>  |
| <b>7 Impedance Characteristics and Chip-Parasitics Extraction of High-Performance VCSELs</b> | <b>97</b>  |
| <b>8 Conclusion and Outlook</b>  | <b>109</b> |
| <b>A Invited Talks at International Conferences</b>  | <b>111</b> |
| A.1 SPIE/COS PHOTONICS ASIA – 2018, 1st Talk . . . . .                                       | 111        |
| A.2 SPIE/COS PHOTONICS ASIA – 2018, 2nd Talk . . . . .                                       | 121        |
| A.3 EMERGING TECHNOLOGIES, ETCMOS – 2017 . . . . .   | 135        |
| A.4 BIT'S 4th ANNUAL WORLD CONGR.OF ADVANCED MATERIALS 2015                                  | 139        |
| <b>B Referred Conference Proceedings</b>   | <b>143</b> |
| B.1 PROC. SPIE/COS PHOTONICS ASIA – 2018, 1st Paper . . . . .                                | 143        |
| B.2 PROC. SPIE/COS PHOTONICS ASIA – 2018, 2nd Paper . . . . .                                | 145        |
| B.3 PROC. SPIE Photonics Europe – 2018, 1st Paper . . . . .                                  | 147        |
| B.4 PROC. SPIE Photonics Europe – 2018, 2nd Paper . . . . .                                  | 149        |
| B.5 PROC. SPIE OPTICS + OPTOELECTRONICS – 2017 . . . . .                                     | 151        |
| B.6 PROC. SPIE PhOTONICS WEST OPTO – 2016 . . . . .  | 153        |
| <b>Publications</b>  | <b>163</b> |
| <b>Acknowledgements</b>  | <b>167</b> |